

Vanishing Elliptic Gauss Sums and Bernoulli-Hurwitz Type Numbers

(joint work with Fumio Sairaiji)

by Yoshihiro Ônishi at Meijo Univ.

RIMS 研究集会 「代数的整数論とその周辺」

9th December, 2019

Contents

- 1 Main references
- 2 Introduction
- 3 Review of Elliptic Gauss Sums
- 4 The lemniscatic sine function
- 5 The ray class field
- 6 Asai's theorem for $\ell \equiv 13 \pmod{16}$
- 7 The corresponding Hecke L -series
- 8 Some Congruence on the Coefficients of EGS
- 9 Summary up to Here
- 10 $\ell \equiv 1 \pmod{8}$ case
- 11 The elliptic Gauss sum for $\ell \equiv 1 \pmod{8}$
- 12 The coefficients of EGS
- 13 Arithmetic on the elliptic curve associated to the EGS for $\ell \equiv 1 \pmod{8}$
- 14 The Congruence
- 15 An analogue of the congruence numbers
- 16 BSD Conjecture and EGS
- 17 An example
- 18 Vanishing EGS and Kummer-type congruence
- 19 EGS and Kummer-type congruences
- 20 Idea of the proof
- 21 Sketch of the proof
- 22 Some Observation

Main references

- ▶ **Asai, T.** : *Elliptic Gauss sums and Hecke L-values at $s = 1$* , RIMS Kôkyûroku Bessatsu, **4**(2007). [Asai]
- ▶ **Birch, B.J.** and **Swinnerton-Dyer, H.P.F.** : *Notes on elliptic curves II*, Crelle, **218**(1965). [BSD]
- ▶ **Ônishi, Y.** : *Congruence relations connecting Tate-Shafarevich groups with Hurwitz numbers*, Interdisciplinary Information Sciences, **16**(2010). [Ô]
- ▶ **Koblitz, N.** : *Introduction to Elliptic Curves and Modular Forms (2nd ed.)*, G.T.M. **97**, 1993
- ▶ **Lutz, E.** : *Sur l'équation $y^2 = x^3 - Ax - B$ dans les corps p -adiques*, Crelle, **177**(1937).
- ▶ **Hurwitz, A.** : *Über die Anzahl der Klassen binärer quadratischer Formen von negativer Determinante*, Acta Math., **19**(1985). [H]
(The last reference was informed by G. Yamashita after the talk.)

Introduction

Theorem. (Hurwitz [H]) Let $p > 3$ be an odd rational prime, $h(-p)$ be the class number of the imaginary quadratic field $\mathbf{Q}(\sqrt{-p})$. Then we have

$$h(-p) \equiv \begin{cases} -2 B_{\frac{p+1}{2}} \pmod{p} & \text{if } p \equiv 3 \pmod{4}, \\ 2^{-1} E_{\frac{p-1}{2}} \pmod{p} & \text{if } p \equiv 1 \pmod{4}. \end{cases}$$

Here B_n is the n -th Bernoulli number, E_n is the n -th Euler number.

Moreover, the **absolutely smallest residue** of the RHS exactly equals to the value of LHS.

LHS comes from Dirichlet L -values $L(1, \left(\frac{\cdot}{p}\right))$.

RHS comes from “trigonometric” Gauss sums.

We give an analogy for Tate-Shafarevich groups of this theorem.

Elliptic Gauss sums were already used, in order to compute numerically the L -series attached to some elliptic curves over \mathbf{Q} , in the famous original paper [BSD] by Birch and Swinnerton-Dyer themselves. We wish to use them for investigation of **L -series attached to some elliptic curves defined over $\mathbf{Q}(i)$** .

The lemniscatic sine function

The inverse function $u \mapsto t$ of

$$t \mapsto u = \int_0^t \frac{dt}{\sqrt{1-t^4}} = \sum_{n=0}^{\infty} (-1)^n \binom{-\frac{1}{2}}{n} \frac{t^{4n+1}}{4n+1} = t + \dots$$

is the **lemniscatic sine** function, which is denoted by $t = \text{sl}(u)$.

$$\varpi = 2 \int_0^1 \frac{dt}{\sqrt{1-t^4}} = \int_1^{\infty} \frac{dx}{2\sqrt{x^3-x}} = 2.262205 \dots$$

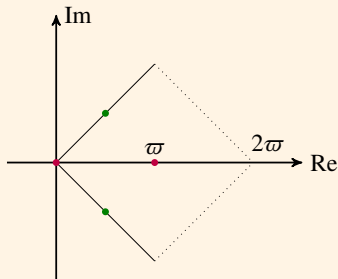
$\text{sl}(u)$ is an elliptic function whose period lattice is $\Omega = (1-i)\varpi \mathbf{Z}[i]$

and its divisor modulo Ω is

$$\text{div}(\text{sl}) = (0) + (\varpi) - \left(\frac{\varpi}{1-i}\right) - \left(\frac{i\varpi}{1-i}\right).$$

It is expanded as

$$\begin{aligned} \text{sl}(u) &= u - \frac{1}{10}u^5 + \frac{1}{120}u^9 - \frac{11}{15600}u^{13} + \dots \\ &= \sum_{m=0}^{\infty} C_{4m+1} u^{4m+1}. \end{aligned}$$



The ray class field

Through out this talk, we denote $\varphi(u) = \text{sl}((1-i)\varpi u)$.

(The period lattice of this function is $\mathbf{Z}[i]$.)

Take a prime $\ell \equiv 1 \pmod{4}$, $\ell \in \mathbf{Z}$. $\ell = \lambda\bar{\lambda}$ with $\lambda \equiv 1 \pmod{(1+i)^3}$.

Let $S \subset \mathbf{Z}[i]$ be a fixed set such that

$(\mathbf{Z}[i]/(\lambda))^\times \simeq S \cup -S \cup iS \cup -iS$, $|S| = \frac{\ell-1}{4}$. Moreover we define

$\Lambda = \varphi(\frac{1}{\lambda})$, $\mathcal{O}_\lambda =$ "the ring of integers in $\mathbf{Q}(i, \Lambda)$ ",

$\tilde{\lambda} = \gamma(S)^{-1} \prod_{r \in S} \varphi(\frac{r}{\lambda})$, where

$$\begin{cases} \{\pm 1, \pm i\} \ni \gamma(S) \equiv \prod_{r \in S} r \pmod{\lambda} & \text{if } \ell \equiv 5 \pmod{8}, \\ \{\pm i\} \ni \gamma(S)^2 \equiv \prod_{r \in S} r^2 \pmod{\lambda} & \text{if } \ell \equiv 1 \pmod{8}. \end{cases}$$

Then, we have

$$(\lambda) = (\Lambda)^{\ell-1}, \quad \Lambda \in \mathcal{O}_\lambda, \quad \tilde{\lambda}^4 = \left(\frac{-1}{\lambda}\right)_4 \lambda.$$

Note that $\mathbf{Q}(i, \Lambda)$ is the ray class field over $\mathbf{Q}(i)$ of conductor $(1+i)^3(\lambda)$.

(T. Takagi [1920], §32) (Remind that $(\mathbf{Z}[i]/(1+i)^3)^\times \simeq \{\pm 1, \pm i\}$.)

Asai's theorem for $\ell \equiv 13 \pmod{16}$ (Typical case)

Assume $\ell \equiv 13 \pmod{16}$. $\ell = \lambda \bar{\lambda}$ such that $\lambda \equiv 1 \pmod{(1+i)^3}$. $\chi_\lambda(r) = \left(\frac{r}{\lambda}\right)_4$.

$$\text{egs}(\lambda) = \frac{1}{4} \sum_{r=1}^{\ell-1} \chi_\lambda(r) \text{sl} \left((1-i) \varpi \frac{r}{\lambda} \right).$$

Since the terms of this summation are alg. integers, $\text{egs}(\lambda)$ is an alg. integer.

Theorem. ([Asai]) $\exists A_\lambda \in 1 + 2\mathbf{Z}$ such that

$$\text{egs}(\lambda) = A_\lambda \tilde{\lambda}^3, \quad \left(\tilde{\lambda} = \gamma(S)^{-1} \prod_{r \in S} \varphi\left(\frac{r}{\lambda}\right) \right).$$

In particular, $\text{egs}(\lambda) \neq 0$.

Proof. Use the **functional equation for the Hecke L -series** corresponding to χ_λ and the **formula of Cassels-Matthews** for classical quartic Gauss sum. □

— Note that $\text{BSD} \implies \text{Rationality of EGS} \implies \text{Cassels-Matthews}$.

— We call A_λ the **coefficient** of $\text{egs}(\lambda)$. (Asai)

— In the definition of $\text{egs}(\lambda)$, if we replace χ_λ by another character χ such that $\chi(i) = i$, then the sum trivially vanishes.

Each character χ “knows” which elliptic function corresponds to itself.

The corresponding Hecke L -series

$\ell \equiv 13 \pmod{16}$

Keeping in mind that $(\mathbf{Z}[i]/(1+i)^2)^\times \simeq \{1, i\}$, we define

$$\begin{aligned}\chi_0'(\alpha) &= \varepsilon^2 \quad \text{for } \alpha \equiv \varepsilon \pmod{(1+i)^2}, \varepsilon \in \{1, i\}, \\ \tilde{\chi} &= \chi_\lambda \chi_0'.\end{aligned}$$

This is a Hecke character of conductor $(\lambda(1+i)^2)$.

Theorem. ([Asai])

$$L(1, \tilde{\chi}) = -\varpi(1-i)^{-1} \chi_\lambda(2) \lambda^{-1} \text{egs}(\lambda).$$

The elliptic curve corresponding to $L(s, \tilde{\chi})$ is $\mathcal{E}_{-\lambda} : y^2 = x^3 + \lambda x$.

Deuring showed that

$$L_{\mathcal{E}_{-\lambda}/\mathbf{Q}(i)}(s) = L(s, \tilde{\chi}) L(s, \bar{\tilde{\chi}}).$$

Proposition. If the full statement of BSD conjecture for the curve $\mathcal{E}_{-\lambda} : y^2 = x^3 + \lambda x$ is true, then $\#\text{III}(\mathcal{E}_{-\lambda}/\mathbf{Q}(i)) = |A_\lambda|^2$.

Some Congruence on the Coefficients of EGS

We define $C_j \in \mathbf{Q}$ by the expansion of $u \mapsto \text{sl}(u)$ as follows:

$$\text{sl}(u) = \sum_{m=0}^{\infty} C_{4m+1} u^{4m+1} = u - \frac{1}{10}u^5 + \frac{1}{120}u^9 - \frac{11}{15600}u^{13} + \dots$$

Theorem. ([Ô]) Assuming $\ell \equiv 13 \pmod{16}$, we have

$$\pm \sqrt{\# \text{III}(\mathcal{E}_{-\lambda}/\mathbf{Q}(i))} \stackrel{?}{=} A_{\lambda} \equiv -\frac{1}{4} C_{\frac{3(\ell-1)}{4}} \pmod{\ell}.$$

The absolutely minimal residue of the RHS is exactly the LHS. (?)

This is a generalization of the following :

Theorem. (revisited) For any prime $p > 3$, we have

$$h(-p) \equiv \begin{cases} -2 B_{\frac{p+1}{2}} \pmod{p} & \text{if } p \equiv 3 \pmod{4}, \\ 2^{-1} E_{\frac{p-1}{2}} \pmod{p} & \text{if } p \equiv 1 \pmod{4}. \end{cases}$$

Summary up to here

$\ell \equiv 13 \pmod{16}$ The corresponding elliptic curve is

$$\mathcal{E}_{-\lambda} : y^2 = x^3 + \lambda x$$

and $L(1, \tilde{\chi}) \neq 0$. Coates-Wiles' theorem implies that

$$\text{rank } \mathcal{E}_{-\lambda}(\mathbf{Q}(i)) = 0.$$

$\ell \equiv 5 \pmod{16}$ We have a similar story.

The corresponding elliptic curve is

$$\mathcal{E}_{\frac{1}{4}\lambda} : y^2 = x^3 - \frac{1}{4}\lambda x$$

and, similarly, it has $\text{rank } \mathcal{E}_{\frac{1}{4}\lambda}(\mathbf{Q}(i)) = 0$.

We proceed to the other case :

$\ell \equiv 1 \pmod{8}$. About 18% of the 172 examples of this case in [Asai],
 $\text{egs}(\lambda) = 0$.

$\ell \equiv 1 \pmod 8$ case

ε always denotes an element in $\{\pm 1, \pm i\}$.

Define χ_0 by

$$\chi_0(\alpha) = \varepsilon \quad \text{if} \quad \alpha \equiv \varepsilon \pmod{(1+i)^3} \quad (\alpha \neq 0 \in \mathbf{Z}[i]).$$

$\ell \equiv 1 \pmod{16}$ Since $\chi_\lambda(i) = 1$, we define $\chi_1 = \chi_\lambda \chi_0$.

Then $\tilde{\chi}(\alpha) = \chi_1(\alpha) \bar{\alpha}$ is a Hecke character of conductor $(\lambda(1+i)^3)$.

We have

$$L(1, \tilde{\chi}) = \varpi \overline{\chi_\lambda(1+i)} 2^{-1} \lambda^{-1} \text{egs}(\lambda).$$

Here, $\text{egs}(\lambda)$ is defined in the next page.

$\ell \equiv 9 \pmod{16}$ Since $\chi_\lambda(i) = -1$, we define $\chi_1 = \chi_\lambda \bar{\chi}_0$.

Then $\tilde{\chi}(\alpha) = \chi_1(\alpha) \bar{\alpha}$ is a Hecke character of conductor $(\lambda(1+i)^3)$.

We have

$$L(1, \tilde{\chi}) = \varpi \overline{\chi_\lambda(1+i)} 2^{-1} \lambda^{-1} \text{egs}(\lambda).$$

Here $\text{egs}(\lambda)$ is defined in the next page.

The elliptic Gauss sum

Our situation: $\ell \equiv 1 \pmod{8}$ is a prime, and

$$\ell = \lambda \bar{\lambda}, \quad \lambda \equiv 1 \pmod{(1+i)^3}, \quad \chi_\lambda(\nu) = \left(\frac{\nu}{\lambda}\right)_4, \quad \chi_\lambda(\mathbf{i}) = \mathbf{i}^{\frac{\ell-1}{4}} = \pm 1.$$

Using $\text{cl}(u) = \text{sl}\left(u + \frac{\varpi}{2}\right)$, we define $\psi(u) = \text{cl}((1-i)\varpi u)$ and the elliptic Gauss sum by

$$\text{egs}(\lambda) = \sum_{\nu \in S \cup iS} \chi_\lambda(\nu) \psi\left(\frac{\nu}{\lambda}\right).$$

Then we have (revisited)

Proposition. ([Asai])

$$L(1, \tilde{\chi}) = \overline{\varpi \chi(1+i)} 2^{-1} \lambda^{-1} \text{egs}(\lambda).$$

The coefficients of EGS

For the **coefficients**, we recall the following

Theorem. ([Asai]) Let $\zeta_8 = \exp(2\pi i/8)$. There exists $A_\lambda \in \mathbf{Z}[\zeta_8]$ such that

$$\text{egs}(\lambda) = A_\lambda \tilde{\lambda}^3,$$

where A_λ is given by

$\ell \bmod 16$	$\chi_\lambda(1+i) = 1$	$\chi_\lambda(1+i) = -1$	$\chi_\lambda(1+i) = i$	$\chi_\lambda(1+i) = -i$
1	$i\sqrt{2} \cdot a_\lambda$	$\sqrt{2} \cdot a_\lambda$	$\zeta_8 \cdot a_\lambda$	$i\zeta_8 \cdot a_\lambda$
9	$i\zeta_8 \cdot a_\lambda$	$\zeta_8 \cdot a_\lambda$	$i\sqrt{2} \cdot a_\lambda$	$\sqrt{2} \cdot a_\lambda$

and $a_\lambda \in \mathbf{Z}$.

Proof.

Use the formula of Cassels-Matthew and the functional equation of $L(s, \tilde{\chi})$. □

Remark. Asai observed that $a_\lambda \in 2\mathbf{Z}$.

Arithmetic on the elliptic curve associated to the EGS for $\ell \equiv 1 \pmod 8$

$\ell = 8n + 1 = \lambda\bar{\lambda}$ The Hecke L -series associated to $\text{egs}(\lambda)$ is a factor of the L -series of the elliptic curve

$$\mathcal{E}_\lambda : y^2 = x^3 - \lambda x.$$

The conductor of this is $((1+i)^3\lambda)^2$ (See [Serre-Tate], Thm.12), and the reduction type at $(1+i)$ is of type III, and that at λ is of type I_2^* .

Each Tamagawa number τ_p and $A_\lambda =$ "the coeff. of $\text{egs}(\lambda)$ " are as follows :

$\ell \pmod{16}$	Invariants	$\chi_\lambda(1+i) = 1$	$\chi_\lambda(1+i) = -1$	$\chi_\lambda(1+i) = i$	$\chi_\lambda(1+i) = -i$
1	A_λ	$i\sqrt{2} \cdot a_\lambda$	$\sqrt{2} \cdot a_\lambda$	$\zeta_8 \cdot a_\lambda$	$i\zeta_8 \cdot a_\lambda$
	$\tau_{(\lambda)}$	2	2	2	2
	$\tau_{(1+i)}$	4	4	2	2
9	A_λ	$i\zeta_8 \cdot a_\lambda$	$\zeta_8 \cdot a_\lambda$	$i\sqrt{2} \cdot a_\lambda$	$\sqrt{2} \cdot a_\lambda$
	$\tau_{(\lambda)}$	2	2	2	2
	$\tau_{(1+i)}$	2	2	4	4

Asai observed that $a_\lambda \in 2\mathbf{Z}$.

It is quite certain that $\left(\frac{1}{2}a_\lambda\right)^2 = \#\text{III}(\mathcal{E}_\lambda)$ if $a_\lambda \neq 0$.

The congruence for $\ell \equiv 1 \pmod{8}$

We define the C_{2j} s by the expansion of the lemniscatic cosine $u \mapsto \text{cl}(u)$ as

$$\text{cl}(u) = 1 + \sum_{j=2}^{\infty} C_{2j} u^{2j} = 1 - u^2 + \frac{1}{2}u^4 - \frac{3}{10}u^6 + \frac{7}{40}u^8 - \dots$$

For the sake of simplicity, we restrict the case $\ell \equiv 1 \pmod{16}$, and assume, as before, that

$$\ell = \lambda \bar{\lambda}, \quad \lambda \equiv 1 \pmod{(1+i)^3}.$$

Take a set S such that $(\mathbf{Z}[i]/(\lambda))^\times = S \cup -S \cup iS \cup -iS$ and $|S| = \frac{\ell-1}{4}$.

Since $\chi_\lambda(v) \equiv v^{\frac{\ell-1}{4}} \pmod{\ell}$, we see $\chi(i) = 1$.

Define $\psi(u) = \text{cl}((1-i)\varpi u)$. According to [Asai],

$$\text{egs}(\lambda) := \sum_{v \in S \cup iS} \chi_\lambda(v) \psi\left(\frac{v}{\lambda}\right) = A_\lambda \bar{\lambda}^3 \quad \text{with } A_\lambda \in \mathbf{Z}[\zeta_8].$$

Theorem. (alternative of $[\hat{O}]$) In $\mathbf{Z}[\zeta_8]$, we have

$$A_\lambda \equiv -\frac{1}{2} C_{\frac{3(\ell-1)}{4}} \pmod{\ell}.$$

Remark. $\mathbf{Z}[\zeta_8]$ is Euclidian. It is quite prospective that the absolute minimal residue of the RHS gives the exact value of A_λ .

Proof of the congruence (in a few words) (1/2)

Recall

$$\Lambda := \varphi\left(\frac{1}{\lambda}\right), \quad \tilde{\lambda} := \gamma(S)^{-1} \prod_{r \in S} \varphi\left(\frac{r}{\lambda}\right) \equiv \Lambda^{\frac{\ell-1}{4}} \pmod{\Lambda^{\frac{\ell-1}{4}+1}}, \quad \tilde{\lambda}^4 = \left(\frac{-1}{\lambda}\right)_4 \lambda.$$

Let g be a generator of the cyclic group $(\mathbf{Z}[i]/(\lambda))^{\times}$. Write $\chi_\lambda = \chi$ for simplicity.

$$\begin{aligned} \text{egs}(\lambda) &= \sum_{j=0}^{\frac{\ell-3}{2}} \chi(g^j) \text{cl}(g^j u) \Big|_{u=(1-i)\varpi\frac{1}{\lambda}} = \sum_{j=0}^{\frac{\ell-3}{2}} \chi(g^j) \text{cl}\left(g^j \sum_{n=0}^{\infty} (-1)^n \binom{-\frac{1}{2}}{n} \frac{t^{4n+1}}{4n+1}\right) \Big|_{t=\Lambda} \quad (t = \text{sl}(u)) \\ &= \sum_{j=0}^{\frac{\ell-3}{2}} \chi(g^j) \sum_{m=0}^{\infty} C_{2m} \left(g^j \sum_{n=0}^{\infty} (-1)^n \binom{-\frac{1}{2}}{n} \frac{t^{4n+1}}{4n+1}\right)^{2m} \Big|_{t=\Lambda} \\ &= \sum_{m=0}^{\infty} \left(\sum_{j=0}^{\frac{\ell-3}{2}} \chi(g^j) g^{2jm}\right) C_{2m} \left(\sum_{n=0}^{\infty} (-1)^n \binom{-\frac{1}{2}}{n} \frac{t^{4n+1}}{4n+1}\right)^{2m} \Big|_{t=\Lambda}. \end{aligned}$$

Concerning $\text{mod } \Lambda^{\frac{3(\ell-1)}{4}+1}$, we see

$$\begin{aligned} &\equiv \sum_{m=0}^{\frac{3(\ell-1)}{8}} \underbrace{\left(\sum_{j=0}^{\frac{\ell-3}{2}} \chi(g^j) g^{2jm}\right)}_{\substack{\downarrow \\ = \sum_{j=0}^{\frac{\ell-3}{2}} g^{\frac{j(\ell-1)}{4}} g^{2jm} = \sum_{j=0}^{\frac{\ell-3}{2}} g^{j(\frac{\ell-1}{4}+2m)}}} C_{2m} \left(\sum_{n=0}^{\infty} (-1)^n \binom{-\frac{1}{2}}{n} \frac{t^{4n+1}}{4n+1}\right)^{2m} \Big|_{t=\Lambda} \pmod{\Lambda^{\frac{3(\ell-1)}{4}+1}}. \end{aligned}$$

Proof of the congruence (in a few words) (2/2)

Because of

$$\sum_{j=0}^{\frac{\ell-3}{2}} g^{j\left(\frac{\ell-1}{4}+2m\right)} = \begin{cases} 0 & \text{if } (\ell-1) \nmid \left(\frac{j(\ell-1)}{4}+2m\right), \\ \frac{\ell-1}{2} & \text{if } (\ell-1) \mid \left(\frac{j(\ell-1)}{4}+2m\right), \end{cases} \quad 0 \leq 2m \leq \frac{3(\ell-1)}{4},$$

the terms in the previous page vanish unless $2m = \frac{3(\ell-1)}{4}$. Therefore,

$$\begin{aligned} &\equiv \frac{\ell-1}{2} C_{\frac{3(\ell-1)}{4}} \cdot \left(\sum_{n=0}^{\infty} (-1)^n \binom{-\frac{1}{2}}{n} \frac{t^{4n+1}}{4n+1} \right) \Big|_{t=\Lambda^{\frac{3(\ell-1)}{4}}} \pmod{\left(\Lambda^{\frac{3(\ell-1)}{4}+1}\right)} \\ &\equiv \frac{\ell-1}{2} C_{\frac{3(\ell-1)}{4}} \cdot \Lambda^{\frac{3(\ell-1)}{4}} \pmod{\left(\Lambda^{\frac{3(\ell-1)}{4}+1}\right)}. \end{aligned}$$

This implies

$$\text{egs}(\lambda) \equiv A_\lambda \Lambda^{\frac{3(\ell-1)}{4}} \equiv \frac{\ell-1}{2} C_{\frac{3(\ell-1)}{4}} \cdot \Lambda^{\frac{3(\ell-1)}{4}} \pmod{\left(\Lambda^{\frac{3(\ell-1)}{4}+1}\right)},$$

and, at last, we have :

$$A_\lambda \equiv -\frac{1}{2} C_{\frac{3(\ell-1)}{4}} \pmod{((\Lambda) \cap \mathbf{Z}[\zeta_8])}.$$

The rationality of A_λ (Asai's theorem) yields the congruence $\pmod{\ell}$.

The **absolutely minimal residues of the RHS** in numerical check coincide with the values in the table of [Asai].

An analogue of the congruence numbers (1/2)

The following is well-known : (see, for example, Koblitz' GTM book)

Theorem. Let $n \in \mathbf{Z}$. For the elliptic curve $\mathcal{E}_{n^2} : y^2 = x^3 - n^2x$

the following three are equivalent each other:

- (1) $\exists u, \exists v \in \mathbf{Q}$ such that $n^2 = u^4 - v^2$,
- (2) n is a congruence number,
- (3) $\text{rank } \mathcal{E}_{n^2}(\mathbf{Q}) > 0$.

An analogue of the congruence numbers (2/2)

Some numerical calculation suggests the following analogue:

Conjecture. (Gaussian congruence numbers)

Let λ be a first degree Gaussian prime such that $\lambda \equiv 1 \pmod{(1+i)^3}$.

There exist $\alpha, \beta \in \mathbf{Q}(i)$ satisfying

$$(\star) \quad \lambda = -\alpha^4 + \beta^2 i,$$

if and only if $\text{egs}(\lambda) = 0$.

- All the examples in [Asai] satisfy this conjecture.
- In the examples of [Asai] such that $\text{egs}(\lambda) = 0$, except $\lambda\bar{\lambda} = 4817$, we can take $\alpha, \beta \in \mathbf{Z}[i]$.
- If $\lambda = -\alpha^4 + \beta^2 i$, the point $(x, y) = (\alpha^2 i, \pm\alpha\beta)$ is on $\mathcal{E}_\lambda(\mathbf{Q}(i))$. Indeed

$$x^3 - \lambda x = -\alpha^6 i - (-\alpha^4 + \beta^2 i)\alpha^2 i = (\beta\alpha)^2 = y^2.$$

This is a non-torsion point.

(From Nagell-Lutz argument, we see the torsion part of $\mathcal{E}_\lambda(\mathbf{Q}(i))$ is $\{(0, 0), \infty\}$.)

BSD Conjecture and EGS

We summarize the result up to here :

$$\begin{aligned} \lambda \text{ is of the form } -\alpha^4 + \beta^2 i &\iff \text{rank } \mathcal{E}_\lambda(\mathbf{Q}(i)) > 0 \\ &\stackrel{?}{\iff} L(1, \tilde{\chi}) = 0 \\ &\iff \text{egs}(\lambda) = 0. \end{aligned}$$

An example

Example. Take $\lambda = 41 + 56i$, $\ell = \lambda\bar{\lambda} = 4817$.

Then $\lambda = -\alpha^4 + \beta^2i$, where

$$\alpha = \frac{i(1+2i)(2+3i)}{3}, \quad \beta = \frac{i7(1+i)(2+i)(4+i)}{3^2}.$$

MAGMA says that the Mordell-Weil rank of \mathcal{E}_λ is 2.

The Mordell-Weil group is probably a rank one $\mathbf{Z}[i]$ -module generated by $(\alpha^2, \pm\alpha\beta)$.

Remark. Since

$$L(s, \tilde{\chi}) L(s, \bar{\tilde{\chi}}) = L_{\mathcal{E}_\lambda/\mathbf{Q}(i)}(s),$$

the analytic rank of $\mathcal{E}_\lambda/\mathbf{Q}(i)$ is even.

This is consistent with that the MW-group of \mathcal{E}_λ over $\mathbf{Q}(i)$ is a $\mathbf{Z}[i]$ -module.

MAGMA says that all cases in the table in [Asai] are of MW-rank two.

Vanishing EGS and Kummer-type congruence

We define $G_{2j} \in \mathbf{Z}$ by

$$\begin{aligned} \text{cl}(u) &= 1 + \sum_{j=2}^{\infty} G_{2j} \frac{u^{2j}}{(2j)!} \quad (\text{Hurwitz coefficients of } \text{cl}(u)) \\ &= 1 - u^2 + 6 \frac{u^4}{4!} - 216 \frac{u^6}{6!} + 882 \frac{u^8}{8!} - 368928 \frac{u^{10}}{10!} + \cdots \end{aligned}$$

We denote by H_ℓ the Hasse invariant of $y^2 = x^3 - x$ at $\ell \pmod{4}$:

$$H_\ell = (-1)^{(\ell-1)/4} \binom{\frac{\ell-1}{2}}{\frac{\ell-1}{4}} = \lambda + \bar{\lambda}.$$

We see $\text{egs}(\lambda) = 0$ is equivalent to

$$\ell \mid G_{\frac{3}{4}(\ell-1)},$$

if the behavior of $|\text{egs}(\lambda)|$ w.r.t. $\ell \rightarrow \infty$ is quite small.

Indeed, the estimation for the egs coefficient $|A_\lambda| < \ell^{1/4}$ is hopeful.

(This last sentence and the next page included typos pointed out by Sairaiji after the talk and now are corrected.)

EGS and Kummer-type congruences

The following theorem was proved by Fumio Sairaiji, which had been a conjecture until a few months ago.

Theorem. (EGS and congruences of Kummer-type)

Assume that the expected estimation $|A_\lambda| < \ell^{1/4}$ holds.

The following three are equivalent:

(1) $\text{egs}(\lambda) = 0$;

(2) $\ell \mid G_{\frac{3}{4}(\ell-1)}$;

(3) For any $0 \leq a < \ell$, we have

$$\sum_{r=0}^a \binom{a}{r} (-H_\ell)^{a-r} \frac{G_{\frac{3}{4}(\ell-1)+r(\ell-1)}}{\frac{3}{4}(\ell-1)+r(\ell-1)} \equiv 0 \pmod{\ell^{a+1}}.$$

Moreover, under the same assumption, we can show that for $0 \leq a < \nu\ell$

(4)
$$\sum_{r=0}^a \binom{a}{r} (-H_\ell)^{a-r} \frac{G_{\frac{3}{4}(\ell-1)+r(\ell-1)}}{\frac{3}{4}(\ell-1)+r(\ell-1)} \equiv 0 \pmod{\ell^{a-\nu+2}}$$

if and only if $\text{egs}(\lambda) = 0$.

Idea of the proof

Taking an $(\ell - 1)$ th root ζ of 1 in \mathbf{Z}_ℓ , we define

$$\text{Cl}(u) = \sum_{j=0}^{\ell-1} \chi_\lambda(\zeta^j) \text{cl}(\zeta^j u).$$

Note that $\chi_\lambda(\zeta) = \zeta^{-\frac{3}{4}(\ell-1)} \leftrightarrow \{\pm 1, \pm i\}$.

Then we have $\text{Cl}(s\ell^{-1}(\Lambda)) = \text{egs}(\lambda)$ and

$$\text{Cl}(u) = (\ell - 1) \sum_{a=0}^{\infty} G_{\frac{3}{4}(\ell-1)+a(\ell-1)} \frac{u^{\frac{3}{4}(\ell-1)+a(\ell-1)}}{(\frac{3}{4}(\ell-1) + a(\ell-1))!}.$$

We see that the last statement (3) of the theorem is equivalent to the Hurwitz coefficient of degree $\frac{3}{4}(\ell - 1)$ of

$$\left(\left(\frac{\partial}{\partial u} \right)^{\ell-1} - H_\ell \right)^a \left(\frac{\text{Cl}(u)}{u} \right)$$

belongs to $\ell^{a+1} \mathbf{Z}_\ell$.

Sketch of the proof

We show (1) \implies (3) (and (4)), which is the most difficult part of the proof.

So, we assume $\text{egs}(\lambda) = 0$.

We identify the completion $\mathbf{Z}[i]_{\lambda}$ with \mathbf{Z}_{ℓ} .

LT : Lubin-Tate formal group over \mathbf{Z}_{ℓ} corresponding to λ -plication $x \mapsto \lambda x + x^{\ell}$.

$f_0(x)$: the formal log of **LT**.

$\widehat{\mathbf{sl}}$: the formal group defined by $t_1 + t_2 = \text{sl}(\text{sl}^{-1}(t_1) + \text{sl}^{-1}(t_2))$ over \mathbf{Z}_{ℓ} .

Since $\ell - H_{\ell}T + T^2 = (\lambda - T)(\bar{\lambda} - T)$ is a **special element** of $\widehat{\mathbf{sl}}$, we have a strong isomorphism

$$\begin{array}{ccc} \iota : \mathbf{LT} & \longrightarrow & \widehat{\mathbf{sl}} \\ x & \longmapsto & \iota(x) = t = \varphi(u) \\ \exists \eta & \longmapsto & \iota(\eta) = \Lambda = \varphi\left(\frac{1}{\lambda}\right). \end{array}$$

So that $\eta^{\ell} = -\lambda$.

Since $\text{Cl}(\text{sl}^{-1}(t)) \in \mathbf{Z}_{\ell}[[t]]$, $\text{Cl}(f_0(x)) \in \mathbf{Z}_{\ell}[[x]]$.

(continuation)

We want to show the terms of degree up to $\ell(\ell - 1)$ of

$$\frac{\text{Cl}(u)}{u} = \frac{\text{Cl}(\text{sl}^{-1}(t))}{\text{sl}^{-1}(t)}$$

are in $\ell \mathbf{Z}_\ell$, because this and a theorem of Hochschild yield

$$\left(\begin{array}{l} \text{The term(s) of degree (less than or equal to)} \\ \frac{3}{4}(\ell - 1) \text{ in } t\text{-expansion of } \left(\left(\frac{d}{du} \right)^{\ell-1} - H_\ell \right)^a \frac{\text{Cl}(u)}{u} \end{array} \right) \in \ell^{a+1} \mathbf{Z}_\ell[[t]] \subset \ell^{a+1} \mathbf{Z}_\ell \langle\langle u \rangle\rangle$$

provided $\frac{3}{4}(\ell - 1) + a(\ell - 1) < \ell(\ell - 1)$.

However, since $\widehat{\text{sl}}$ is strongly isomorphic to \mathbf{LT} , it is sufficient to check leading terms of

$$\frac{\text{Cl}(f_0(x))}{f_0(x)}.$$

Since $0 = \text{egs}(\lambda) = \text{Cl}(\text{sl}^{-1}(\lambda))$ and then, $\text{Cl}(f_0(\zeta^j \eta)) = 0$ for $1 \leq j \leq \ell - 1$ as well,

we have $0 = \text{Cl}(f_0(\zeta^j \eta))$ and then, $\text{Cl}(f_0(x))$ is divisible by $\lambda x + x^\ell = x \prod_{j=1}^{\ell-1} (x - \zeta^j \eta)$.

Hence we shall check leading terms of

$$\frac{\text{Cl}(u)}{u} = \frac{\text{Cl}(f_0(x))/(\lambda x + x^\ell)}{f_0(x)/(\lambda x + x^\ell)} = \lambda \frac{\text{Cl}(f_0(x))}{f_0(x)} \cdot \frac{\lambda x + x^\ell}{\lambda f_0(x)}, \text{ namely, of } \frac{\lambda x + x^\ell}{\lambda f_0(x)}.$$

(continuation)

To get (4), we take a $\nu \in \mathbf{N}$ and fix it. Thanks to $f_0(\zeta x) = \zeta f_0(x)$, we shall let

$$f_0(x) = \sum_{j=0}^{\infty} \frac{b_{1+j(\ell-1)}}{1+j(\ell-1)} x^{1+j(\ell-1)} = x + \frac{b_\ell}{\ell} x^\ell + \dots \quad (b_{1+j(\ell-1)} \in \mathbf{Z}_\ell). \quad \text{It is shown } b_\ell \in (\mathbf{Z}_\ell)^\times.$$

There exists a polynomial $h(x) \in \mathbf{Z}_\ell[x]$ such that

$$\frac{\lambda x + x^\ell}{\lambda f_0(x)} \equiv 1 + \left(\frac{b_\ell}{\ell}\right)^\nu x^{\nu\ell(\ell-1)} + \frac{1}{\ell^{\nu-1}} h(x) \pmod{\text{deg}(\nu\ell(\ell-1)+1)}.$$

Hence $\frac{\text{Cl}(f_0(x))}{\lambda x + x^\ell} \cdot \frac{\lambda x + x^\ell}{\lambda f_0(x)}$ has the same property.

So that, any coefficients of terms of degree $< \nu\ell(\ell-1)$ of

$$\frac{\text{Cl}(u)}{u} = \frac{\text{Cl}(f_0(x))/(\lambda x + x^\ell)}{f_0(x)/(\lambda x + x^\ell)} = \lambda \frac{\text{Cl}(f_0(x))}{f_0(x)} \cdot \frac{\lambda x + x^\ell}{\lambda f_0(x)} \quad \text{belongs to} \quad \frac{1}{\ell^{\nu-2}} \mathbf{Z}_\ell.$$

We finally have

$$\ell^{\nu-2} \sum_{r=0}^a \binom{a}{r} (-H_\ell)^{a-r} \frac{G_{\frac{3}{4}(\ell-1)+r(\ell-1)}}{\frac{3}{4}(\ell-1)+r(\ell-1)} \equiv 0 \pmod{\ell^a}$$

for any $a > 0$ satisfying $\frac{3}{4}(\ell-1) + a(\ell-1) < \nu\ell(\ell-1)$, namely, for $0 < a < \nu\ell$.

Therefore,

$$\sum_{r=0}^a \binom{a}{k} (-H_\ell)^{a-r} \frac{G_{\frac{3}{4}(\ell-1)+r(\ell-1)}}{\frac{3}{4}(\ell-1)+r(\ell-1)} \equiv 0 \pmod{\ell^{a-\nu+2}}.$$

Some Observation

(the last formula)

$$\sum_{r=0}^a \binom{a}{r} (-H_\ell)^{a-r} \frac{G_{\frac{3}{4}(\ell-1)+r(\ell-1)}}{\frac{3}{4}(\ell-1)+r(\ell-1)} \equiv 0 \pmod{\ell^{a-v+2}}$$

implies, for example,

$$\frac{G_{\frac{3}{4}(\ell-1)}}{\frac{3}{4}(\ell-1)} \equiv (-H_\ell)^{k\ell^{b-1}} \cdot \frac{G_{\frac{3}{4}(\ell-1)+k\ell^{b-1}(\ell-1)}}{\frac{3}{4}(\ell-1)+k\ell^{b-1}(\ell-1)} \pmod{\ell^b}.$$

They look like interpolating $L\left(1 + j(\ell-1), \tilde{\chi}^{1+j(\ell-1)}\right)$ ($j = 1, \dots$), via

$$\left(\frac{d}{du}\right)^{j(\ell-1)} \text{Cl}(u) \quad (\text{"higher derivative of the elliptic Gauss sum"})$$